



**CORPORATE ACTIONS STANDARDS
ISO 15022:
FINLAND, ICELAND, NORWAY & SWEDEN
MARKET PRACTICE**

*Issued on behalf of the Nordic
Securities Market Practice Group
Standards Release 2014*

October 2014

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2 Introduction

This document presents the Market Practice for Corporate Actions messages for Finland, Iceland, Norway and Sweden and is created by the Nordic region MPG. The member countries of the Nordic region MPG are Denmark, Finland, Iceland, Norway and Sweden. Each country has been represented by its National Market Practice Group. Please note that from SR2014, the Danish NMPG will publish its own separate Market Practice.

3 Version control

Version	SR	Description	Page number
V1.0, July 2005	SR2005	1 st version	
V5.0, April 2006	SR2005	2 nd version	
V5.1, November 2006	SR2006	3 rd version	
V5.2, July 2007	SR2007	4 th version	
V5.3, May 2008	SR2008	5 th version	
V5.4, August 2009	SR2009	6 th version	
V5.5, October 2010	SR2010	7 th version	
V5.6, August 2011	SR2011	8 th version	
V5.7, May 2012	SR2012	9 th version	
V5.8, October 2013	SR2013	10 th version	
V5.9, October 2014	SR2014	11 th version	

4 Market Information

DENMARK

Eligible balance: The eligible balance is equal to the settled holdings in the CSD at eod record date.

Instructions on pending trades: Instructions on pending trades are not allowed in the market (i.e. to the issuer and its agent).

FINLAND

Eligible balance: The eligible balance is equal to the settled holdings in the CSD at eod record date.

Instructions on pending trades: Instructions on pending trades are not allowed in the market (i.e. to the issuer and its agent).

ICELAND

Eligible balance: The eligible balance is equal to the settled holdings in the CSD at eod record date.

Instructions on pending trades: Instructions on pending trades are not allowed in the market (i.e. to the issuer and its agent).

NORWAY

Eligible balance: The eligible balance is equal to the settled holdings in the CSD at eod record date.

Instructions on pending trades: It is possible to instruct on pending trades in rights issues, provided that the rights settle on deposit date (market deadline + 3 business days). In the event that the rights have not settled by this time, it will be a matter between the counterparties.

In the event that the pending trade is not settled in time where the rights have been instructed for exercise of new shares, new shares (wholly or partially) would most likely be allocated (pro rata) from oversubscription provided that oversubscription is allowed.

It is normally possible to instruct on pending trades in tender offers, provided that the shares settle on deposit date (market deadline + 3 business days). In the event that the shares have not settled by this time, it will be a matter between the counterparties.

In some rare cases, it may not be possible to settle trades after the market deadline if the settlement date of the event is close to the market deadline.

SWEDEN

Eligible balance: The eligible balance is equal to the settled holdings in the CSD at eod record date.

Instructions on pending trades: Instructions on pending trades are not allowed in the market (i.e. to the issuer and its agent).

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Detailed Field Name	Sequence	Data Field Content	Example	Comments/Country Specifics
Start of Block	A	GENL	:16R:GENL	
Corporate Action Reference	A	:4!c/16x	:20C::CORP//REF	
Sender's Reference	A	:4!c/16x	:20C::SEME//REF	
Function of the Message	A	4!c/4!c]	:23G:NEWM, CANC, REPE, REPL, RMDR or WITH	RMDR only if SLA
Corporate Action Event Indicator	A	:4!c/4!c	:22F::CAEV//BIDS	
			BMET	
			BONU	
			BRUP	
			CAPD	
			CHAN	
			CONS	
			CONV	
			DECR	
			DFLT	
			DRAW	
			DVCA	
			DVOP	
			DVSE	
			EXOF	
			EXRI	FI, NO, SE
			EXWA	
			INCR	
			INTR	
			LIQU	
			MCAL	
			MEET	
			MRGR	
			ODLT	
			OMET	
			OTHR	
			PARI	
			PCAL	
			PLAC	
			PRIO	
			REDM	
			RHDI	FI, NO, SE
			RHTS	IS
			SHPR	
			SOFF	
			SPLF	
			SPLR	
			TEND	
			XMET	
			WRTH	
Mandatory/Voluntary Indicator	A	:4!c/4!c	:22F::CAMV//CHOS, MAND or VOLU	
Processing Status	A	:4!c/4!c	:25D::PROC//COMP or PREC	
Start of Block	A1	LINK	:16R:LINK	Sequence to be used for REPL, CANC, REPE, WITH and RMDR
Previous Reference	A1	:4!c/16x	:20C::PREV//123	
End of Block	A1	LINK	:16S:LINK	
End of Block	A	GENL	:16S:GENL	
Start of Block	B	USECU	:16R:USECU	

Identification of the Financial Instrument	B	ISIN!e12!c	:35B:ISIN XX1234567890	
Start of Block	B2	ACCTINFO	:16R:ACCTINFO	
Safekeeping Account	B2	:4!c/35x	:97A::SAFE//123456789	
Balance	B2	:4!c/4!c/15d	:93B::ELIG//FAMT or UNIT/1,	
End of Block	B2	ACCTINFO	:16S:ACCTINFO	
End of Block	B	USECU	:16S:USECU	
Start of Block	C	INTSEC	:16R:INTSEC	If an event with intermediate security is processed as one event, eg. RHTS
Quantity of Financial Instrument	C	:4!c/4!c/15d	:36B::QINT//UNIT/1,	
Identification of the Financial Instrument	C	ISIN!e12!c	:35B:ISIN XX1234567890	
Rate	C	:4!c/15d/15d	:92D::RTUN//1./1,	
Date/Time	C	:4!c/8!n	:98A::EXPI//YYYYMMDD	
Date/Time	C	:4!c/8!n	:98A::POST//YYYYMMDD	
End of Block	C	INTSEC	:16S:INTSEC	
Start of Block	D	CADETL	:16R:CADETL	Details will vary with event types
Date/Time	D	:4!c/8!n	:98A::MEET//YYYYMMDD	
			XDTE	
			REGI	
			RDTE	
Rate	D	:4!c/15d	:92A::INTR//1,	
Indicator	D	:4!c/[8c]/4!c	:22F::CHAN//NAME	
			OFFE//SQUE	
			RHDI//EXRI	FI, NO, SE Other codes may be used as well
Narrative	D	:4!c/10*35x	:70E::NAME//	Used solely for name change
End of Block	D	CADETL	:16S:CADETL	
Start of Block	E	CAOPTN	:16R:CAOPTN	Options will vary with event types
Corporate Action Option Number	E	:4!c/3!c	:13A::CAON//001	
Corporate Action Option Code	E	:4!c/4!c	:22F::CAOP//BUYA	
			CASE	
			CASH	
			EXER	
			NOAC	
			LAPS	
			OVER	
			SECU	
			SLLE	
Flag	E	:4!c/1!a	:17B::DFLT//N or Y	
Date/Time	E	:4!c/8!n	:98A::MKDT//YYYYMMDD	
Date/Time	E	:4!c/8!n6!n	:98C::RDDT//YYYYMMDD001122	
Period	E	:4!c/8!n/8!n	:69A::PWAL//YYYYMMDD/YYYYMMDD	
Start of Block	E1	SECMOVE	:16R:SECMOVE	Conditional: to be sent for events with possible securities movements
Credit/Debit Indicator	E1	:4!c/4!c	:22H::CRDB//DEBT	
Identification of the Financial Instrument	E1	ISIN!e12!c	:35B:ISIN XX1234567890	
Quantity of Financial Instrument	E1	:4!c/4!c/15d	:36B::ENTL//UNIT/1,	
Rate	E1	:4!c/15d/15d	:92D::ADEX//1./1,	
			NEWO	
Date/Time	E1	:4!c/8!n	:98A::PAYD//YYYYMMDD	Additional date qualifiers may also be used
End of Block	E1	SECMOVE	:16S:SECMOVE	
Start of Block	E2	CASHMOVE	:16R:CASHMOVE	Conditional: to be

				sent for events with possible cash movements
Credit/Debit Indicator	E2	:4!c//4!c	:22H::CRDB//CRED	
Amount	E2	:4!c//[N]3!a15d	:19B::ENTL//EUR1,	
Date/Time	E2	:4!c//8!n	:98A::PAYD//YYYYMMDD	Additional date qualifiers may also be used
Rate	E	:4!c//15d	:92A::RATE//1,	
			INTP	
			TAXR	
Rate	E	:4!c//3!a15d	:92F::GRSS//EUR1,	
Price	E	:4!c//4!c/15d	:90A::OFFR//PRCT/100,	
Price	E2	:4!c//4!c/3!a15d	:90B::OFFR//ACTU/EUR1,	
			PRPP	
End of Block	E2	CASHMOVE	:16S:CASHMOVE	
End of Block	E	CAOPTN	:16S:CAOPTN	

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Detailed Field Name	Sequence	Data Field Content	Example	Comments/Country Specifics
Start of Block	A	GENL	:16R:GENL	
Corporate Action Reference	A	:4!c/16x	:20C::CORP//REF	
Sender's Reference	A	:4!c/16x	:20C::SEME//REF	
Function of the Message	A	4!c/4!c]	:23G:NEWM or CANC	
Corporate Action Event Indicator	A	:4!c/4!c	:22F::CAEV//BIDS	
			BMET	
			BONU	
			CONS	
			CONV	
			DVOP	
			EXOF	
			EXWA	
			EXRI	FI, NO, SE
			LIQU	
			MEET	
			MRGR	
			ODLT	
			OMET	
			OTHR	
			PRIO	
			RHTS	IS
			TEND	
			XMET	
End of Block	A	GENL	:16S:GENL	
Start of Block	B	USECU	:16R:USECU	
Identification of the Financial Instrument	B	ISIN!e12!c	:35B:ISIN XX1234567890	
Start of Block	B2	ACCTINFO	:16R:ACCTINFO	
Safekeeping Account	B2	:4!c/35x	:97A::SAFE//123456789	
End of Block	B2	ACCTINFO	:16S:ACCTINFO	
End of Block	B	USECU	:16S:USECU	
Start of Block	D	CAINST	:16R:CAINST	
Corporate Action Option Number	D	:4!c/3!c	:13A::CAON//001	
Corporate Action Option Code	D	:4!c/4!c	:22H::CAOP//BUYA	
			CASE	
			CASH	
			EXER	
			NOAC	
			LAPS	
			OVER	
			SECU	
			SLLE	
			ABST	
			AMGT	
			CONN	
			CONY	
			MNGT	
			PROX	
			OTHR	
Identification of the Financial Instrument	D	ISIN!e12!c	:35B:ISIN XX1234567890	
Instructed Quantity	D	:4!c/4!c/15d	:36B::QINS//FAMT or UNIT/1,	
End of Block	D	CAINST	:16S:CAINST	

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Detailed Field Name	Sequence	Data Field Content	Example	Comments/Country Specifics
Start of Block	A	GENL	:16R:GENL	
Corporate Action Reference	A	:4!c/16x	:20C::CORP//REF	
Sender's Reference	A	:4!c/16x	:20C::SEME//REF	
Function of the Message	A	4!c/4!c]	:23G:NEWM or REVR	
Corporate Action Event Indicator	A	:4!c/4!c	:22F::CAEV//BIDS	
			BONU	
			BRUP	
			CAPD	
			CHAN	
			CONV	
			DRAW	
			DVCA	
			DVOP	
			DVSE	
			EXOF	
			EXRI	FI, NO, SE
			EXWA	
			INTR	
			LIQU	
			MCAL	
			MRGR	
			ODLT	
			OTHR	
			PARI	
			PCAL	
			PLAC	
			PRIO	
			REDM	
			RHDI	FI, NO, SE
			RHTS	IS
			SHPR	
			SOFF	
			SPLF	
			SPLR	
			TEND	
			WRTH	
Start of Block	A1	LINK	:16R:LINK	
Reference	A1	:4!c/16x	:20C::PREV or RELA//REF	
End of Block	A1	LINK	:16S:LINK	
End of Block	A	GENL	:16S:GENL	
Start of Block	B	USECU	:16R:USECU	
Safekeeping Account	B	:4!c/35x	:97A::SAFE//123456789	
Identification of the Financial Instrument	B	ISIN1!e12!c	:35B:ISIN XX1234567890	
Confirmed Balance	B	:4!c/[8c]/4!c/[N]15d	:93B::CONB//FAMT or UNIT/1,	
End of Block	B	USECU	:16S:USECU	
Start of Block	C	CADETL	:16R: CADETL	
Indicator	C	:4!c/[8c]/4!c	:22F::RHDI//EXRI	FI, NO, SE Other codes may be used as well
End of Block	C	CADETL	:16S:CADETL	
Start of Block	D	CACONF	:16R:CACONF	
Corporate Action Option Number	D	:4!c/3!c	:13A::CAON//001	
Corporate Action Option Code	D	:4!c/4!c	:22H::CAOP//BUYA	
			CASE	

			CASH	
			EXER	
			LAPS	
			OVER	
			SECU	
			SLLE	
Start of Block	D1	SECMOVE	:16R:SECMOVE	
Credit/Debit Indicator	D1	:4!c//4!c	:22H::CRDB//DEBT or CRED	
Identification of the Financial Instrument	D1	ISIN1!e12!c	:35B:ISIN XX1234567890	
Posting Quantity	D1	:4!c//4!c/15d	:36B::PSTA//FAMT or UNIT/1,	
Posting Date	D1	:4!c//8!n	:98A::POST//YYYYMMDD	
End of Block	D1	SECMOVE	:16S:SECMOVE	
Start of Block	D2	CASHMOVE	:16R:CASHMOVE	
Credit/Debit Indicator	D2	:4!c//4!c	:22H::CRDB//DEBT or CRED	
Posting Amount	D2	:4!c//3!a15d	:19B::PSTA//EUR1,	
Posting Date	D2	:4!c//8!n	:98A::POST//YYYYMMDD	
Value Date	D2	:4!c//8!n	:98A::VALU//YYYYMMDD	
End of Block	D2	CASHMOVE	:16S:CASHMOVE	
End of Block	D	CACONF	:16S:CACONF	

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Detailed Field Name	Sequence	Data Field Content	Example	Comments/Country Specifics
Start of Block	A	GENL	:16R:GENL	
Corporate Action Reference	A	:4!c//16x	:20C::CORP//REF	
Sender's Reference	A	:4!c//16x	:20C::SEME//REF	
Function of the Message	A	4!c//4!c]	:23G:INST or CAST	
Corporate Action Event Indicator	A	:4!c//4!c	:22F::CAEV//BIDS	
			BMET	
			BONU	
			CONS	
			CONV	
			DVOP	
			EXOF	
			EXRI	FI, NO, SE
			EXWA	
			LIQU	
			MEET	
			MRGR	
			ODLT	
			OMET	
			OTHR	
			PRIO	
			RHTS	IS
			TEND	
			XMET	
Start of Block	A1	LINK	:16R:LINK	
Reference			:20C::RELA//REF	
End of Block	A1	LINK	:16S:LINK	
Start of Block	A2	STAT	:16R:STAT	Support of codes may vary between institutions/markets and is SLA driven. The basis is the SMPG MT567 matrix
Status	A2	:4!c//4!c	:25D::	
Start of Block	A2a	REAS	:16R:REAS	
Reason	A2a	:4!c//4!c	:24B::	
End of Block	A2a	REAS	:16S:REAS	
End of Block	A2	STAT	:16S:STAT	
End of Block	A	GENL	:16S:GENL	

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Detailed Field Name	Sequence	Data Field Content	Example	Comments/Country Specifics
Start of Block	A	GENL	:16R:GENL	
Corporate Action Reference	A	:4!c/16x	:20C::CORP//REF	
Sender's Reference	A	:4!c/16x	:20C::SEME//REF	
Function of the Message	A	4!c/4!c]	:23G:NEWM, CANC, REPL, RMDR or WITH	RMDR only if SLA
Corporate Action Event Indicator	A	:4!c/4!c	:22F::CAEV//BIDS	
			BMET	
			BONU	
			BRUP	
			CAPD	
			CHAN	
			CONV	
			CONS	
			DECR	
			DFLT	
			DRAW	
			DVCA	
			DVOP	
			DVSE	
			EXOF	
			EXRI	FI, NO, SE
			EXWA	
			INCR	
			INTR	
			LIQU	
			MCAL	
			MEET	
			MRGR	
			ODLT	
			OMET	
			OTHR	
			PARI	
			PCAL	
			PLAC	
			PRIO	
			REDM	
			RHDI	FI, NO, SE
			RHTS	IS
			SHPR	
			SOFF	
			SPLF	
			SPLR	
			TEND	
			XMET	
			WRTH	
Start of Block	A1	LINK	:16R:LINK	
Reference	A1	:4!c/16x	:20C::PREV//REF	
End of Block	A1	LINK	:16S:LINK	
End of Block	A	GENL	:16S:GENL	
Start of Block	C	ADDINFO	:16R:ADDINFO	
Narrative	C	:4!c/8000z	:70F::ADTX//	
End of Block	C	ADDINFO	:16S:ADDINFO	